

05/21/99



JC658 U.S. PTO

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PATENT

Attorney Docket No.: 1176

Express Mail Label#: EL 157234592US

JC525 U.S. PTO  
09/31/103



05/21/99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL  
(35 U.S.C. § 111 AND 37 CFR § 1.53)

Transmitted herewith for filing is the patent application of

Inventor(s): Tracy Lee Nelson and Charles Arthur Jennings

For (title): System and Method for Controlling a Call Processing System

1. Type of Application

- ☒ original
- ☐ design
- ☐ plant
- ☐ divisional
- ☐ continuation
- ☐ continuation-in-part (C-I-P)

CERTIFICATE UNDER 37 C.F.R. § 1.8 and § 1.10

I hereby certify that, on the date shown below, this correspondence is being

- ☒ deposited with the United States Postal Service in an envelope addressed to Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231 with sufficient postage:

MAILING

- ☐ 37 C.F.R. § 1.8  
as first class mail.

- ☒ 37 C.F.R. § 1.10  
as "Express Mail Post Office to Addressee" EXPRESS MAIL NO.  
EL 157234592US

FACSIMILE TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Wendy L. Precht

Name of Depositor

Wendy L. Precht  
Signature

5/21/99  
Date

09317103 "052199"

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**2. Benefit of Prior U.S. Application(s) (35 USC 120)**

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

**3. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application**

<u>80</u>	Pages of specification
<u>16</u>	Pages of claims
<u>1</u>	Pages of abstract
<u>5</u>	Declaration
<u>21</u>	Sheets of drawing (Figs. 1-47)

- ☒ identifying information has been placed on back of each sheet of drawings.

(complete the following, if applicable)

- ☐ The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)." 37 C.F.R. 1.84(b).

**4. Additional papers enclosed**

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement (37 CFR 1.98)
- ☐ Form PTO-1449 (Modified) and \_\_\_\_\_ cited references
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of 'Sequence Listing,' computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☒ Pre-addressed postal card
- ☒ Other - Power of Attorney by Assignee of Entire Interest

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**5. Declaration or oath**

☒ Enclosed

executed by (*check all applicable boxes*)

- ☒ inventor(s).
- ☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43
- ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.

☐ this is the petition required by 37 C.F.R. § 147 and the statement required by 37 C.F.R. § 147 is attached.

☐ Not Enclosed

☐ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of *all the above-named inventor(s)*. (The declaration or oath, along with the surcharge required by 37 CFR 1.16(e), can be filed subsequently.)

**6. Assignment**

☒ An assignment of the invention to Sprint Communications Company, L.P.

☐ was filed on \_\_\_\_\_ and recorded \_\_\_\_\_, reel \_\_\_\_\_, frame \_\_\_\_\_.

☒ is attached.

☒ A separate "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or FORM PTO 1906

☒ is attached.

☐ will follow.

**7. Fee Calculation (37 CFR 1.16)**

A. ☒ Regular Application

# CLAIMS AS FILED

Number filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$760.00
Total Claims (37 CFR 1.16(c))	107 - 20	87 x \$18.00	\$ 1,566.00
Independent Claims (37 CFR 1.16(b))	3 - 3	0 x \$78.00	\$ 0.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))		x \$260.00	\$ 0.00

- ☐ Amendment canceling extra claims enclosed.
- ☐ Amendment deleting multiple-dependencies enclosed.
- ☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation

\$ 2,326.00

## 8. Small Entity Statement(s)

- ☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27
  - ☐ is (are) attached.
  - ☐ will follow.

Filing Fee Calculation (50% of A, B, or C above)

\$ \_\_\_\_\_

## 9. Fee Payment Being Made At This Time

- ☐ Not Enclosed
  - ☐ No filing fee is to be paid at this time.
- ☒ Enclosed

- ☒ basic filing fee
- ☒ recording assignment  
(\$40.00; 37 CFR 1.21(h)) (See attached  
"COVER SHEET FOR ASSIGNMENT  
ACCOMPANYING NEW  
APPLICATION.")

\$ 2,326.00

\$ 40.00

- ☐ petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached (\$130.00; 37 CFR 1.47 and 1.17(h)) \$ \_\_\_\_\_
- ☐ for processing an application with a specification in a non-English language. (\$130.00; 37 CFR 1.52(d) and 1.17(k)) \$ \_\_\_\_\_
- ☐ processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l)) \$ \_\_\_\_\_
- ☐ fee for international-type search report (\$40.00; 37 CFR 1.21(e)) \$ \_\_\_\_\_
- Total fees enclosed** \$ 2,366.00

**10. Method of Payment of Fees**

- ☐ Check in the amount of \$ \_\_\_\_\_.
- ☒ Charge Account No. 21-0765 in the amount of \$ 2,366.00.  
A duplicate of this transmittal is attached.

**11. Authorization to Charge Additional Fees**

- ☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 21-0765:
- ☒ 37 CFR 1.16(a), (f) or (g) (filing fees).
  - ☒ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims).
  - ☐ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application).
  - ☐ 37 CFR 1.17 (application processing fees).
  - ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)).

**12. Instructions As To Overpayment**

- ☒ credit Account No. 21-0765
- ☐ refund

6.6T250" EOT2TE60

**13. Incorporation by reference of added pages**

- ☐ Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s) Claimed

Number of pages added \_\_\_\_\_

- ☐ Plus Added Pages For Papers Referred To In Item 4 Above

Number of pages added \_\_\_\_\_

- ☐ Plus "Assignment Cover Letter Accompanying New Application"

Number of pages added \_\_\_\_\_

**14. ☒ Statement Where No Further Pages Added**

- ☒ This transmittal ends with this page.

Respectfully submitted,



James M. Stipek, Reg. No. 39,388

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